

Published on Web 07/13/2010

Topology-Directed Control on Thermal Stability: Micelles Formed from Linear and Cyclized Amphiphilic Block Copolymers

Satoshi Honda, Takuya Yamamoto,* and Yasuyuki Tezuka*

Department of Organic and Polymeric Materials, Tokyo Institute of Technology, O-okayama, Meguro-ku, Tokyo 152-8552, Japan

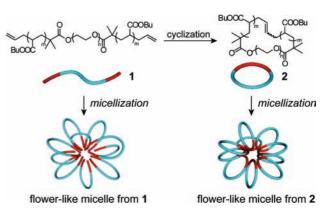
Received May 30, 2010; E-mail: yamamoto.t.ay@m.titech.ac.jp; ytezuka@o.cc.titech.ac.jp

Abstract: The thermal stability of a self-assembled micelle was remarkably enhanced by a topology effect. Linear poly(butyl acrylate)-block-poly(ethylene oxide)-block-poly(butyl acrylate) (1) and the cyclized product, poly(butyl acrylate)-block-poly(ethylene oxide) (2), were self-assembled to form flower-like micelles. By means of viscometry, the critical micelle concentrations were determined to be 0.13 and 0.14 mg/mL for 1 and 2, respectively. Dynamic light scattering, atomic force microscopy, and transmission electron microscopy studies revealed that both micelles are spherical and approximately 20 nm in diameter. Despite no distinctive change in the chemical composition or structure of the micelle, we found that the cloud point (T_c) was elevated by more than 40 °C through the linear-to-cyclic topological conversion of the polymer amphiphile. Furthermore, the T_c was tuned by coassembly of 1 and 2.

Self-assembly is a powerful means to construct functional nanostructures with molecular-level precision. However, due to their noncovalent nature, the ensembles are inherently susceptible to their surroundings. Although the structural robustness has been improved by, for example, the introduction of secondary interactions² and post cross-linking,³ appending additional functional groups to component molecules or polymers often disturbs the formation of desired suprastructures.⁴ The development of an alternative methodology for stabilization that does not affect the structural integrity of ensembles is a challenging issue in supramolecular chemistry. In nature, certain types of living organisms have evolved to adapt to hostile environments by exploiting a topology effect to preserve their self-assembled biological systems. Some thermophilic archaea, single-cell microorganisms living in high-temperature circumstances such as hot springs and submarine volcanoes, have cyclic lipids in their cell membranes.⁵ The cyclic structure is believed to endow the self-assembled membrane with a significant thermal resistance. Inspired by this, we expected that self-assembled synthetic nanostructures can be stabilized by the topologydirected strategy. Here we report the first example of a remarkable topology effect by a cyclized⁶ block copolymer against its linear counterpart, demonstrating a drastic elevation $(\geq 40 \, ^{\circ}\text{C})$ of the cloud point $(T_c)^7$ of the flower-like micelle⁸ commonly formed therefrom (Scheme 1).9-11 Importantly, other chemical and physical properties such as composition, critical micelle concentration (CMC), morphology, and dimensions remained essentially unaffected. Furthermore, the T_c was tuned by coassembly of the linear and cyclized polymers.

Based on the recently developed protocol, ¹² amphiphilic linear poly(butyl acrylate)-*block*-poly(ethylene oxide)-*block*-poly(butyl acrylate) (1) and cyclized poly(butyl acrylate)-*block*-poly(ethylene oxide) (2) were prepared, where the M_n values were prescribed

Scheme 1. Chemical Structures of Linear (1) and Cyclic (2) Amphiphilic Block Copolymers and Schematic Representation for the Formation of Flower-like Micelles



suitable for the formation of micelles. 13 Aqueous micellar solutions of 1 and 2 were prepared by a common method. 14,15 To determine the CMCs by viscometry, the micellar solutions were diluted to sequential concentrations. Plots of η versus concentration show the slopes of the linearly fitted lines change at 0.13 and 0.14 mg/mL for 1 and 2, respectively (Figure S3, Supporting Information), 16 indicating the CMC is not influenced significantly by the topology of the polymer component. By means of dynamic light scattering (DLS), the number-average hydrodynamic diameters (D_h) were determined to be 20 nm, with a narrow distribution for both micelles from 1 and 2 (Figure S4, Supporting Information). ¹⁶ Furthermore, the size and morphology of the micelles were directly observed by atomic force microscopy (AFM) (Figure S5, Supporting Information)^{16,17} and transmission electron microscopy (TEM) (Figure S6a,b, Supporting Information), 16 revealing spherical structures having consistent diameters with DLS. Noteworthy, diffractions in fast Fourier-transformed TEM images are visible presumably due to crystalline poly(ethylene oxide) segments (Figure S6c,d, Supporting Information). 16

Despite no apparent differences in the structures of the micelles, we found that the thermal stability was dramatically improved by the cyclization. The turbidity of the micellar solutions was measured by increasing the temperature from 20 °C in stepwise fashion (Figure 1a,b). The micellar solutions of 1 became cloudy at 24 °C (1.0 and 0.5 mg/mL) and 27 °C (0.25 mg/mL), 7.17 indicating the formation of a larger agglomerate. In sharp contrast, 1.0, 0.5, and 0.25 mg/mL micellar solutions of 2 were stable up until 74, 73, and 71 °C, respectively, 7.17 demonstrating that the $T_{\rm c}$ was increased by more than 40 °C through the topological conversion of the polymer component.

The thermal stability of the micelle likely derives from the entropic advantage of the cyclic topology of the polymer compo-

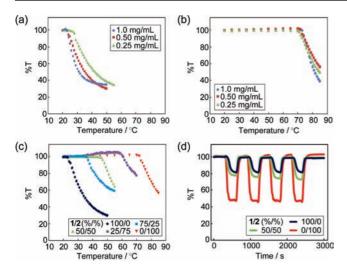


Figure 1. Turbidity measurements. Temperature dependence of (a) **1** and (b) **2** (1.0, 0.50, 0.25 mg/mL). (c) Temperature dependence of homoassemblies and coassemblies (25%/75%, 50%/50%, and 75%/25%). The total concentration of **1** and **2** in a solution was 0.50 mg/mL. (d) Response to heating and cooling (0.50 mg/mL). The temperature was equilibrated at $T_{\rm c}$ – 5 °C prior to the measurements, set to $T_{\rm c}$ + 5 °C at 300, 900, 1500, and 2100 s, and reset to $T_{\rm c}$ – 5 °C at 600, 1200 1800, and 2400 s.

nent. When linear polymer 1 in water aggregates to form a flower-like micelle, the polymer chain should fold at a suitable position to put together the poly(butyl acrylate) segments. On the other hand, no such conformational control is necessary for cyclic 2. In other words, 1 suffers from decreases in entropy by folding and aggregating, while the latter is the only entropic disadvantage for the self-assembly of 2. Upon heating the micellar solution of 1, one of the chain ends gets loose from the core of the micelle (Scheme S1, Supporting Information). The polymer having a free chain end presumably bridges between micelles. Assisted by the loss of hydration water, the micelle from 1 consequently transforms into an agglomerate. In contrast, the bridging is suppressed by the cyclic topology of 2, resulting in the high thermal stability of the micelle.

By taking advantage of the considerably different $T_{\rm c}$'s, we attempted to tune the $T_{\rm c}$ in the wide temperature range via the coassembly of 1 and 2. The micelles formed from three mixtures of 1 and 2 (75%/25%, 50%/50%, and 25%/75%) were subjected to turbidity measurements (Figure 1c). The $T_{\rm c}$ of the resulting micelles increased along with the proportion of 2 (37 °C for 25%, 47 °C for 50%, and 62 °C for 75%). This suggests that coassembly takes place to form comparable micelles.

Furthermore, the response of the micelle—agglomerate transformation was measured by repetitive heating and cooling of 0.5 mg/mL solutions around the cloud points ($T_{\rm c}+5$ and $T_{\rm c}-5$ °C). Thus, the temperature was set at 29 and 19 °C for 1, 78 and 68 °C for 2, and 52 and 42 °C for a 50%/50% coassembly with a 300 s interval (Figure 1d). All showed a reversible phase transition, suggesting that the micelle—agglomerate transformation is under a thermodynamic control. Interestingly, transmittance for 2 dropped sharply to less than 50% in 100 s by heating to $T_{\rm c}+5$ °C, while that for 1 slowly decreased only to approximately 80% even after 300 s. The response of the coassembly is between the homoassemblies. The transmittance of all the samples was fully restored in 150 s by cooling to $T_{\rm c}-5$ °C.

In conclusion, we have succeeded in significantly improving the thermal stability of a flower-like micelle through the topological conversion of the polymer component. The coassembly of the linear and cyclic polymers likely allows for tuning the $T_{\rm c}$ to any temperature between those of homoassemblies. The topology-based control of the stabilization may provide potential new developments for the field of supramolecular chemistry. Practically, the present methodology is ideal for human body-related applications such as drug delivery system, food, and cosmetics, which require meticulous care in the modification of the chemical and self-assembled structures, concerning toxicity and biocompatibility. 6i,18

Acknowledgment. This publication is dedicated to Prof. Teiji Tsuruta on the occasion of his 90th birthday. The authors are grateful to Prof. M. Kakimoto, Prof. K. Ishizu, and Prof. T. Takata for access to the measurement apparatus and Prof. S. Uchida for helpful discussion. We thank Mr. S. Nakamura (Otsuka Electronics) for DLS measurements and Mr. K. Hori (Tokyo Institute of Technology, Center for Advanced Materials Analysis) for TEM observations. This work was supported by Tokyo Tech Innovative Research Engineering Award (Y.T.), The Mitsubishi Foundation (Y.T.), KAKENHI (21850013, T.Y.), Mizuho Foundation for the Promotion of Sciences (T.Y.), The Ogasawara Foundation for the Promotion of Science & Engineering (T.Y.), Tokuyama Science Foundation (T.Y.), and Sasagawa Scientific Research Grant from The Japan Science Society (S.H.).

Supporting Information Available: Experimental section, ¹H NMR spectra, size exclusion chromatography traces, viscosity plots, DLS plots, AFM images, TEM images, and a supporting scheme. This material is available free of charge via the Internet at http://pubs.acs.org.

References

- Lehn, J.-M. Supramolecular Chemistry: Concepts and Perspectives; VCH: Weinheim, 1995.
- (2) (a) van Gorp, J. J.; Vekemans, J. A. J. M.; Meijer, E. W. J. Am. Chem. Soc. 2002, 124, 14759–14769. (b) van Herrikhuyzen, J.; Jonkheijm, P.; Schenning, A. P. H. J.; Meijer, E. W. Org. Biomol. Chem. 2006, 4, 1539–1545.
- (3) For reviews, see: (a) Meier, W. Chem. Soc. Rev. 2000, 29, 295–303. (b) Gin, D. L.; Gu, W.; Pindzola, B. A.; Zhou, W.-J. Acc. Chem. Res. 2001, 34, 973–980. (c) Mueller, A.; O'Brien, D. F. Chem. Rev. 2002, 102, 727–757. (d) O'Reilly, R. K.; Hawker, C. J.; Wooley, K. L. Chem. Soc. Rev. 2006, 35, 1068–1083.
- (4) Yamamoto, T.; Fukushima, T.; Kosaka, A.; Jin, W.; Yamamoto, Y.; Ishii, N.; Aida, T. Angew. Chem., Int. Ed. 2008, 47, 1672–1675.
- (5) (a) Yamagishi, A. Biol. Sci. Space 2000, 14, 332–340. (b) Arakawa, K.; Eguchi, T.; Kakinuma, K. Bull. Chem. Soc. Jpn. 2001, 74, 347–356.
- (6) For the synthesis and properties of cyclic polymers, see: (a) Deffieux, A.; Borsali, R. In Controlled Synthesis and Properties of Cyclic Polymers; Matyjaszewski, K., Gnanou, Y., Leibler, L., Eds.; Wiley-VCH Verlag; Weinheim, 2007: pp 875–908. (b) Oike, H.; Imaizumi, H.; Mouri, T.; Yoshioka, Y.; Uchibori, A.; Tezuka, Y. J. Am. Chem. Soc. 2000, 122, 9592–9599. (c) Tezuka, Y.; Oike, H. J. Am. Chem. Soc. 2001, 123, 11570–11576. (d) Bielawski, C. W.; Benitez, D.; Grubbs, R. H. Science 2002, 297, 2041–2044. (e) Chen, R.; Nossarev, G. G.; Hogen-Esch, T. E. J. Polym. Sci., Part A: Polym. Chem. 2004, 42, 5488–5503. (f) Culkin, D. A.; Jeong, W.; Csihony, S.; Gomez, E. D.; Balsara, N. P.; Hedrick, J. L.; Waymouth, R. M. Angew. Chem., Int. Ed. 2007, 46, 2627–2630. (g) Endo, K. Adv. Polym. Sci. 2008, 217, 121–183. (h) Eugene, D. M.; Grayson, S. M. Macromolecules 2008, 41, 5082–5084. (i) Fox, M. E.; Szoka, F. C.; Fréchet, J. M. J. Acc. Chem. Res. 2009, 42, 1141–1151. (j) Laurent, B. A.; Grayson, S. M. Chem. Soc. Rev. 2009, 38, 2202–2213. (k) Guo, L.; Zhang, D. J. Am. Chem. Soc. 2009, 131, 18072–18074.
- (7) In the present study, T_c is defined by the temperature at which transmittance of a solution becomes 99%.
- (8) A flower-like micelle from an ABA triblock copolymer is reported to be more stable than a regular micelle from the AB diblock counterpart in terms of the exchange rate of the component. Thus, we focused on flower-like micelles in the present study. See: Creutz, S.; van Stam, J.; De Schryver, F. C.; Jérôme, R. Macromolecules 1998, 31, 681–689. For the mechanism of molecular exchange in diblock copolymer micelles, see: Choi, S.-H.; Lodge, T. P.; Bates, F. S. Phys. Rev. Lett. 2010, 104, 047802.
- (9) Previous studies report that the increase in the T_c of a micelle is limited to a few degrees, even when changing a segment ratio or using an additive. See: (a) Verdonck, B.; Gohy, J.-F.; Khousakoun, E.; Jérôme, R.; Du Prez, F. Polymer 2005, 46, 9899–9907. (b) Bharatiya, B.; Guo, C.; Ma, J. H.; Hassan, P. A.; Bahadur, P. Eur. Polym. J. 2007, 43, 1883–1891. (c) Nuopponen, M.; Kalliomäki, K.; Aseyev, V.; Tenhu, H. Macromolecules 2008, 41, 4881–4886.
- (10) For the topology effect on the T_c 's of molecularly dispersed poly(N-isopropylacrylamide)s, see: (a) Qiu, X.-P.; Tanaka, F.; Winnik, F. M.

- Macromolecules 2007, 40, 7069–7071. (b) Ye, J.; Xu, J.; Hu, J.; Wang, X.; Zhang, G.; Liu, S.; Wu. C., Macromolecules 2008, 41, 4416–4422. (c) Satokawa, Y.; Shikata, T.; Tanaka, F.; Qiu, X.-p.; Winnik, F. M. Macromolecules 2009, 42, 1400-1403.
- (11) Although comparisons between self-assemblies of linear and cyclic polymers have been reported, the studies mainly focused on the morphological and dimensional deviations. For examples, see: (a) Booth, C.; Attwood, D. *Macromol. Rapid Commun.* **2000**, *21*, 501–527. (b) Iatrou, H.; Hadjichris-Macromol. Rapid Commun. 2000, 21, 501–527. (b) Iatrou, H.; Hadjichristidis, N.; Meier, G.; Frielinghaus, H.; Monkenbusch, M. Macromolecules 2002, 35, 5426–5437. (c) Ouarti, N.; Viville, P.; Lazzaroni, R.; Minatti, E.; Schappacher, M.; Deffieux, A.; Borsali, R. Langmuir 2005, 21, 1180–1186. (d) Ge, Z.; Zhou, Y.; Xu, J.; Liu, H.; Chen, D.; Liu, S. J. Am. Chem. Soc. 2009, 131, 1628–1629. (e) Dong, Y.-Q.; Tong, Y.-Y.; Dong, B.-T.; Du, F.-S.; Li, Z.-C. Macromolecules 2009, 42, 2940–2948.
- (12) Adachi, K.; Honda, S.; Hayashi, S.; Tezuka, Y. Macromolecules 2008, 41, 7898-7903.

- (13) Letchford, K.; Burt, H. Eur. J. Pharm. Biopharm. 2007, 65, 259-269.
- (14) Wang, D.; Peng, Z.; Liu, X.; Tong, Z.; Wang, C.; Ren, B. Eur. Polym. J. **2007**, 43, 2799–2808.
- (15) See Supporting Information.
- (16) The M_n values of 1 and 2 used in this experiment are 800-2600-800 and 1600-2600, respectively.
- (17) The $M_{\rm n}$ values of 1 and 2 used in this experiment are 700–2800–700 and 1400-2800, respectively.
- (18) For reviews, see: (a) Kwon, G. S.; Okano, T. Adv. Drug Delivery Rev. 1996, 21, 107–116. (b) Allen, C.; Maysinger, D.; Eisenberg, A. Colloids Surf., B 1999, 16, 3–27. (c) Harada, A.; Kataoka, K. Prog. Polym. Sci. 2006, 31, 949–982.

JA104691J